

AIPR Raphael Lemkin Seminar for Genocide Prevention  
Global Government Edition, November 11–18, 2012

Goals of the Seminar:

- (1) To familiarize participants with the concept of genocide, its relation to the broader category of mass atrocity, and the processes by which genocide occurs.
- (2) To empower participants with the practical competencies (knowledge and skills) necessary to develop the means to identify and deter the potential for genocide, limit genocide as it unfolds, and engage in the best practices of post-conflict peacebuilding that aim to prevent the future occurrence of mass atrocity in a post-conflict society.
- (3) To reinforce the international security and human rights norm of the responsibility to protect, with clear recognition of the idea that sovereignty is not a privilege, but a responsibility.
- (4) To strengthen capacity-building by creating a worldwide communication network of genocide-sensitive policymakers, shapers of political will, who will become agents of genocide and mass atrocity prevention with a heightened degree of awareness and sensitivity to the role of non-governmental actors in supporting their work in zones of conflict.

Overview of the Seminar

This seminar is grounded in the belief that preventing genocide and mass atrocity is an achievable goal. That is, there are ways to recognize its signs and symptoms, and viable options to prevent it at every turn if we are committed and prepared. This seminar aims to provide a program for participants that, literally, could not be experienced anywhere else in the world. Buttressed by an international teaching team of scholars and activists, the seminar follows the “situated learning” model of education by being grounded in the “power of place” at Auschwitz, the most notorious of the Nazi extermination camps. Day 1 (Monday) of the seminar will set the **history and context** by utilizing the power of place to focus on the Holocaust and Auschwitz as an introduction to the concept of genocide prevention and the mechanics of mass atrocities. Day 2 (Tuesday) will continue on-site at Auschwitz and examine issues of **process and memory**. Day 3 (Wednesday) will focus on **bureaucratic resistance, perpetrators, and frameworks for genocide and mass atrocity prevention**. Day 4 (Thursday) will explore **policy options** for preventing and responding to risks of genocide, war crimes, ethnic cleansing, and crimes against humanity. Day 5 (Friday) will examine issues related to **transitional justice and dealing with the past**. Day 6 (Saturday) will conclude the seminar by putting **genocide and mass atrocity prevention in action** with a capstone simulation experience allowing participants to apply the practical competencies (knowledge and skills) acquired over the course of the seminar. Most teaching modules will include one central, essential article or book chapter (typically no longer than 30 pages) to be read by the participants prior to that particular module. Case studies and other active learning assignments will allow participants to engage firsthand with the learning resources and presentation material. The seminar will follow the Chatham House Rule in which participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), nor that of any other participant, may be revealed.

*Sunday, November 11*

All Day      Krakow Airport Pick-Ups – Morning and Afternoon

15:00      Late Lunch at Galicja Hotel

20:00      Dinner at Galicja Hotel

*Monday, November 12 – History and Context*

- 7:00 Breakfast
- 8:00 Depart Galicja Hotel for Auschwitz-Birkenau State Museum Conference Room (Block 12)
- 8:30-9:00 Official Opening of Raphael Lemkin Seminar for Genocide Prevention  
Tibi Galis, Executive Director of the Auschwitz Institute for Peace and Reconciliation (AIPR), Andrzej Kacorzuk, Deputy Director for Education, Auschwitz-Birkenau State Museum, and Dr. James Waller, Cohen Professor of Holocaust and Genocide Studies, Keene State College and Academic Programs Director of AIPR
- 9:00-10:15 Introduction to the Concepts of Genocide and Mass Atrocities  
Dr. James Waller, Keene State College/AIPR  
Reading: UN Genocide Convention (1951).  
Handout: Definitions of Genocide and Mass Atrocity Crimes
- 10:15-10:30 Coffee Break
- 10:30-Noon Introduction to Genocide and Mass Atrocity Prevention  
Tibi Galis, Executive Director of AIPR  
Reading: Gregory Stanton's briefing paper, "The 8 Stages of Genocide" (1998).
- 12:15-13:15 Lunch Break – Olecki Hotel
- 13:30-14:30 The Holocaust: Failure of a Political System (1933-1939)  
Dr. James Waller, Keene State College  
Reading: "Historical Overview," from Donald Niewyk and Francis Nicosia, *The Columbia Guide to the Holocaust* (New York: Columbia University Press, 2000), pp. 3-9.  
Handout: Nazi Party Platform (1920)
- 14:30-17:00 Guided Study Visit of Auschwitz I (smaller groups)  
Guides from Auschwitz-Birkenau State Museum  
Reading: "Auschwitz," from Walter Laqueur's (ed.) *The Holocaust Encyclopedia* (New Haven, CT: Yale University Press, 2001), pp. 32-44.
- 17:15 Bus returns to Galicja Hotel
- 19:00 Official Opening Dinner at Stara Poczta Restaurant in Oswiecim

*Tuesday, November 13 – Process and Memory*

- 7:30 Breakfast
- 8:00 Depart Galicja Hotel for Auschwitz-Birkenau State Museum Conference Room (Block 12)
- 8:30-10:00 The Holocaust: The Final Solution as Process (1939-1945)  
Dr. James Waller, Keene State College  
Reading: “Historical Overview,” from Donald Niewyk and Francis Nicosia, *The Columbia Guide to the Holocaust*, pp. 9-41.
- 10:00-10:15 Coffee Break
- 10:15-11:30 Between Memory and Commemoration: Educational Philosophy of the Auschwitz-Birkenau State Museum  
Alicja Bialecka, Educational Programs Director, International Centre for Education about Auschwitz and the Holocaust (ICEAH)  
Reading: Michael Kimmelman’s “Auschwitz Shifts from Memorializing to Teaching,” *New York Times* (February 18, 2011).
- 11:30-11:45 Coffee Break
- 11:45-12:15 Background Briefing: Auschwitz II-Birkenau  
Dr. Piotr Setkiewicz, Chief Historian, Auschwitz-Birkenau State Museum  
Auschwitz-Birkenau State Museum Conference Room (Block 12)
- 12:30-13:30 Lunch Break – Olecki Hotel
- 13:45 Bus to Auschwitz II-Birkenau
- 14:00-17:00 Guided Study Visit of Auschwitz II-Birkenau (smaller groups)  
Guides from Auschwitz-Birkenau State Museum  
Reading: Selection from Primo Levi’s *If This Is a Man (Survival in Auschwitz)*, translated by Stuart Woolf (1959), pp. 19-27.
- 17:15 Bus from Auschwitz-Birkenau State Museum to Dialogue Centre
- 18:00 Dinner – Dialogue Centre
- 19:00 Debriefing of Auschwitz Experience and Formative Evaluation Session  
Dialogue Centre  
Facilitated by Dr. Hank Knight, Director of the Cohen Center for Holocaust and Genocide Studies at Keene State College and AIPR Staff

*Wednesday, November 14 – Bureaucratic Resistance, Perpetrators, and Frameworks for Genocide and Mass Atrocity Prevention*

- 7:30 Breakfast
- 8:00 Depart Galicja Hotel for Oswiecim College (Room 108)
- 8:30-10:00 Models of Bureaucratic Resistance in the Holocaust  
Dr. Paul Levine, Associate Professor/Docent in Holocaust History  
The Hugo Valentin Centre, Uppsala University (Sweden)  
Reading: Paul A. Levine, “Swedish Neutrality during the Second World War: Tactical Success of Moral Compromise?” from Neville Wylie (ed.), *European Neutrals and Non-Belligerents during the Second World War* (US: Cambridge University Press, 2010), pp. 304-330.
- 10:00-10:15 Coffee Break
- 10:15-12:15 Becoming Evil: How Ordinary People Commit Genocide and Mass Atrocity  
Dr. James Waller, Keene State College/AIPR  
Readings: (a) James E. Waller, “The Ordinariness of Extraordinary Evil: The Making of Perpetrators of Genocide and Mass Killing,” from Olaf Jensen and Claus-Christian W. Szejnmann (eds.), *Ordinary People as Mass Murderers: Perpetrators in Comparative Perspective* (UK: Palgrave Macmillan, 2008), pp. 145-164 and (b) excerpt from Jean Hatzfeld’s *Machete Season: The Killers in Rwanda Speak* (2003), pp. 21-27, 36-40.
- 12:30-13:30 Lunch Break – Oswiecim College
- 13:45-15:45 Foreseeing, Preventing, and Responding to Genocide and Mass Atrocity: The Legal Framework  
Prof. Sheri Rosenberg, Director, Program in Holocaust and Human Rights Studies  
Benjamin N. Cardozo School of Law, Yeshiva University (NY, NY)  
Reading: Sheri Rosenberg and Everita Silina, “Genocide by Attrition: Silent and Efficient,” (US: forthcoming from Routledge, 2013).
- 15:45-16:00 Coffee Break
- 16:00-17:30 Regional Frameworks: ECOWARN (ECOWAS Warning and Response Network)  
Ms. Onyinye Onwuka, Early Warning Directorate, ECOWAS Commission
- 17:45 Bus from Oswiecim College to Auschwitz Jewish Center
- 18:30 Tour of Auschwitz Jewish Centre (<http://ajcf.org>)
- 19:15 Keynote: Denying Genocide: The Growing Assault on Truth and Memory  
Dr. Deborah Lipstadt, Dorot Professor of Modern Jewish History and Holocaust Studies, Emory University (US)
- 20:00 Pub Dinner – High Way Pub

*Thursday, November 15 – Policy Options for Preventing and Responding to Risks of Genocide, War Crimes, Ethnic Cleansing, and Crimes Against Humanity*

- 7:30 Breakfast
- 8:00 Depart Galicja Hotel for Dialogue Centre
- 8:30-10:00 Creating Early-Warning and Prevention Opportunities Using the UN Framework of Analysis Guidelines  
Castro Wesamba and Frank Chalk – UN Office on Genocide Prevention and the Responsibility to Protect  
Reading: OSAPG Framework of Analysis
- 10:00-10:15 Coffee Break
- 10:15-11:30 Policy Options for Genocide Prevention  
Frank Chalk–UN Office on Genocide Prevention and the Responsibility to Protect and the Montreal Institute for Genocide and Human Rights Studies at Concordia University (CA)
- 11:30-12:30 Case Study: Policy Options for Genocide and Mass Atrocity Prevention  
Frank Chalk with Castro Wesamba – UN Office on Genocide Prevention and the Responsibility to Protect
- 12:30-13:30 Lunch Break – Dialogue Centre
- 13:45-15:00 Responsibility to Protect (R2P)  
Claudia Diaz – UN Office on the Prevention of Genocide and the Responsibility to Protect  
Readings: UN Secretary-General’s annual reports on the responsibility to protect; (a) Implementing the Responsibility to Protect (2009), (b) Early Warning, Assessment and the Responsibility to Protect (2010), (c) The Role of Regional and Sub-regional Arrangements in Implementing the Responsibility to Protect (2011) and (d) The Third Pillar: Timely and Decisive Response (2012).
- 15:00-15:15 Coffee Break
- 15:15-16:15 Case Study: Responsibility to Protect (R2P)  
Castro Wesamba and Claudia Diaz – UN Office on Genocide Prevention and the Responsibility to Protect
- 16:15-16:30 Coffee Break
- 16:30-17:30 Quiz: Response Tools for Genocide and Mass Atrocity Prevention  
Tibi Galis, Executive Director of AIPR & Dr. James Waller, Keene State College/AIPR

- 18:00 Dinner – Dialogue Centre
- 19:00-19:40 Student Presentations – Dialogue Centre  
Sarah Efronson and Tiferet Unterman  
Cardozo Law Legal Interns/Students
- 19:45 Networking Discussions – Dialogue Centre  
Facilitated by AIPR Staff

*Friday, November 16 – Transitional Justice and Dealing with the Past*

- 7:00 Breakfast and Checkout of Galicja Hotel
- 8:00 Depart Galicja Hotel for Dialogue Centre (bring suitcase with you on bus)
- 8:30-10:00 Introduction to Transitional Justice  
Vahidin Omanovic, Center for Peacebuilding (Sanski Most, BiH)  
Readings: (a) “What is Transitional Justice?” (International Center for Transitional Justice, 2009) and (b) Louis Bickford’s “Defining Transitional Justice” from *The Encyclopedia of Genocide & Crimes Against Humanity* (2004).
- 10:00-10:15 Coffee Break
- 10:15-11:45 Working with Civil Society Partners in Genocide Prevention  
Erin Weir, Norwegian Refugee Council  
Reading: Hugo Slim’s “Idealism and Realism in Humanitarian Action,” Centre for Humanitarian Dialogue (2005).
- 11:45-12:30 Lunch Break – Dialogue Centre
- 12:45-14:15 Impact of Global Arms Trade in Genocide and Mass Atrocity Prevention  
Andrew Feinstein, Former South African Member of Parliament and Current Director of Corruption Watch UK  
Reading: Excerpts from “The Shadow World: Inside the Global Arms Trade” by Andrew Feinstein, published by Penguin Books, London (2nd edition, 2012).
- 14:15-14:30 Coffee Break
- 14:30-16:00 Briefing on Current Crisis Spots: The Nuba Mountains of Sudan  
Reading: “UNMIS report on the Human Rights Situation during the Violence in Southern Kordofan Sudan” (June 2011).
- 16:00-16:15 Coffee Break
- 16:15-17:45 Dealing with the Past: Truth, Justice, Reparation and Memory in Argentina  
Andrea Gualde, Ministry of Justice (Argentina)  
Readings: (a) Daniel Feierstein’s “Political Violence in Argentina and its Genocide Characteristics,” *Journal of Genocide Research* (2006), pp. 149-168 and (b) selections from Eduardo Luis Duhalde’s “The Terrorist Argentine State: After Fifteen Years” (1999).
- 18:00 Bus to Krakow
- 19:00 Check-In at Radisson Blu
- Evening Free Evening in Krakow



*Saturday, November 17 – Genocide and Mass Atrocity Prevention in Action*

- 7:30 Breakfast – Radisson Blu
- 8:30-10:45 Capstone Simulation: Early Warning Assessment of Current At-Risk Area  
Facilitators: Tibi Galis, Executive Director of AIPR & Dr. James Waller, Keene State College/AIPR  
Reading: International Crisis Group – “The Pogroms in Kyrgyzstan” – Asia Report No 193, 23 Aug 2010.
- 10:45-11:00 Coffee Break
- 11:00-11:30 Seminar Wrap-Up, Certificate Distribution, and Group Photo  
Tibi Galis, Executive Director of AIPR, Dr. James Waller, Keene State College/AIPR, and Alex Zucker, Alumni Network Director of AIPR
- 11:30-Noon Summative Evaluation Session
- Noon-13:00 Lunch
- 13:30 Arranged Tours in Krakow:  
  
Schindler Factory Museum (Podgorze District)  
Jewish Quarter (Kazimierz District)  
Galicia Jewish Museum (Kazimierz District)  
Krakow City Tour
- 18:45 Meet in Lobby of Radisson Blu
- 19:00 Closing Dinner – White Rose Restaurant, Krakow

*Sunday, November 18*

- AM Participants check out of hotel and leave for return.

“In order to defend ourselves in the future against other totalitarian regimes, we have to understand how they worked in the past, like a vaccine.”  
Lukasz Kaminski, President of Poland’s Institute of National Remembrance

## Detailed Seminar Outline

### Day 1 – History and Context

Day 1 (Monday) of the seminar will introduce the concepts of genocide and mass atrocities as well as genocide prevention. The day also will set the history and context by utilizing the “power of place” to focus on the Holocaust and Auschwitz as an introduction to the process of genocide and mass atrocity.

- (1) Official Opening of Raphael Lemkin Seminar for Genocide Prevention (Galis, Kacorzyk, and Waller) – This opening 30 minute session will include a review of goals of the seminar and expected outcomes (or practical core competencies). Also included will be a review of the logistics of the week, participant expectations, etc.
- (2) Introduction to the Concepts of Genocide and Mass Atrocities (Waller) – This 75 minute module will introduce the concepts of genocide and mass atrocities through the story of Raphael Lemkin, with a clear recognition that additional specifics related to legal definitions will be coming throughout the week.
- (3) Introduction to Genocide Prevention (Galis) – Given that genocide prevention is the heart of the week, this 90 minute module will engage participants in an information discussion about what genocide prevention is and why it is important. Included in this module will be a review of Gregory Stanton’s and Barbara Harff’s models of risk assessment and early warning, as a framework for understanding the process of genocide and mass atrocity.
- (4) The Holocaust: Failure of a Political System (1933-1939) (Waller) – To prepare participants for the guided study visit of Auschwitz I, this 60 minute module will examine the years leading up to the Holocaust in which a democratic political system failed and was replaced by a totalitarian regime. Emphasis will be placed on the early stages of the process by which governmental transitions may leave societies at risk for mass atrocity.
- (5) Guided Study Visit of Auschwitz I (2½ hours)
- (6) Evening – Official Opening Dinner at Stara Poczta Restaurant

### Day 2 – Process and Memory

Day 2 (Tuesday) will continue on-site at Auschwitz and examine, through the case study of the Holocaust, how the process of destruction unfolded. The day will conclude with a discussion on the role that memory and commemoration plays in post-conflict reconstruction.

- (1) The Holocaust: The Final Solution as Process (1939-1945) (Waller) – This 90 minute module will unpack the Holocaust as a process, with particular reflection on the preventative steps that may be taken at points in the process of destruction.
- (2) Between Memory and Commemoration: Educational Philosophy of the Auschwitz-Birkenau State Museum (Alicja Bialecka) – This 75 minute module will address issues of memory and commemoration at the Auschwitz-Birkenau State Museum.

- (3) Background Briefing: Auschwitz II-Birkenau (Piotr Setkiewicz) – This 30 minute background briefing will prepare participants for the guided study visit of Auschwitz II-Birkenau.
- (4) Guided Study Visit of Auschwitz II-Birkenau (3 hours)
- (5) After dinner, the day will conclude with an informal debriefing and formative evaluation session, facilitated by Hank Knight and the AIPR staff, allowing participants to unpack some of their experiences from the guided study visits and the first two days of the seminar.

Day 3 – Bureaucratic Resistance, Perpetrators, and Frameworks for Genocide and Mass Atrocity Prevention

Day 3 (Wednesday) will focus on the role of government officials (both complicit and resistant) in the Holocaust, while then moving to a more general discussion of the process by which perpetrators are made in genocide and mass atrocity. The day will continue with two frameworks – a legal framework and the ECOWARN framework – before concluding with an evening keynote on genocide denial.

- (1) The Role of Government Officials in the Holocaust (Paul Levine) – This 90 minute module will focus on the ways in which government officials employed bureaucratic resistance, through ordinary channels, in the face of the Holocaust.
- (2) Becoming Evil: How Ordinary People Commit Genocide and Mass Killing (Waller) – This two hour module will offer a micro-level focus on the process by which ordinary people become capable of committing extraordinary evil.
- (3) Legal Framework (Sheri Rosenberg) – This two hour module will review the legal framework necessary for understanding genocide and mass atrocity.
- (4) Regional Framework (Onyinye Onwuka) – This 90 minute module will introduce participants to ECOWARN, a project of the ECOWAS Warning and Response Network.
- (5) Evening – Tour of Auschwitz Jewish Centre, keynote address from Deborah Lipstadt on genocide denial, and dinner at the High Way Pub.

Day 4 – Policy Options for Preventing and Responding to Risks of Genocide, War Crimes, Ethnic Cleansing, and Crimes Against Humanity

Day 4 (Thursday) will focus on policy options for preventing and responding to risks of genocide, war crimes, ethnic cleansing, and crimes against humanity as well as the responsibility to protect.

- (1) Creating Early-Warning and Prevention Opportunities Using the UN Framework of Analysis Guidelines (Castro Wesamba and Frank Chalk) – This 90 minute module will provide an overview of the functions of the UN's Office on Genocide Prevention and the Responsibility to Protect and introduce the Office's Framework of Analysis as a basis for discussion of policy options for genocide prevention.
- (2) Policy Options for Genocide Prevention (Frank Chalk) – This 75 minute module, building on the UN Framework of Analysis, will review policy options for genocide prevention.

- (3) Case Study (Frank Chalk with Castro Wesamba) – This 60 minute case study will allow participants to apply some practical competencies (knowledge and skills) regarding policy options for genocide and mass atrocity prevention.
- (4) Responsibility to Protect (Claudia Diaz) – This 75 minute module will review the three-pillar strategy for implementing the responsibility to protect (January 12, 2009) and subsequent related UN reports.
- (5) Case Study (Castro Wesamba and Claudia Diaz): This 60 minute case study will allow participants to apply some practical competencies (knowledge and skills) regarding policy options for implementing the responsibility to protect.
- (6) Response Tools for Genocide Prevention (Galis and Waller): This 60 minute module will briefly review the tools available to help halt and reverse escalating threats of genocide and mass atrocities (diplomatic, economic, legal, and military) as a means of preparation for the capstone simulation. Also covered will be a brief analysis of how genocides end.
- (7) After dinner, the day will conclude with two student presentations from the Cardozo Law School followed by informal networking discussions, facilitated by AIPR staff, allowing participants to share some of their challenges and successes in dealing with this material in their home offices.

#### Day 5 – Transitional Justice and Dealing with the Past

Day 5 (Friday) will examine issues related to transitional justice and dealing with the past in genocide and mass atrocity prevention.

- (1) Introduction to Transitional Justice (Vahidin Omanovic) – This opening 90 minute module will address issues of how a society moves from war to peace, or from a repressive or authoritarian regime to democracy, or from mass atrocity to post-conflict stability.
- (2) Working with Civil Society Partners in Genocide Prevention (Erin Weir) – This 90 minute module will address the social institutions and practices that play an important role in genocide prevention, with a particular focus on working with non-governmental humanitarian organizations.
- (3) Impact of Global Arms Trade in Genocide and Mass Atrocity Prevention (Andrew Feinstein) – This 90 minute module will review the current status of the global arms trade and related treaties, as well as exploring its impact on genocide and mass atrocity prevention.
- (4) Briefing on Current Crisis Spots: The Nuba Mountains of Sudan (Michelle Farley) – This 90 minute module will provide an update, informed by on-ground research, of current crisis spots in Sudan and the Nuba Mountains.
- (5) Dealing with the Past: Truth, Justice, Reparation and Memory in Argentina (Andrea Gualde) – This closing 90 minute module will expand our look at issues of memory and responsibility by focusing our attention on the military violence in Argentina (1976-1983) and the ways in which truth, justice, reparation and memory have restored societal stability.

### Day 6 – Genocide and Mass Atrocity Prevention in Action

Day 6 (Saturday) will conclude the seminar by having participants use the practical core competencies gained through the week in a capstone simulation. We'll conclude the morning with a summative evaluation session before giving participants a free afternoon in Krakow prior to our closing dinner.

- (1) Capstone Simulation (Galis and Waller) – Using the practical core competencies gained through the week, this two hour and fifteen minute capstone simulation will engage participants with genocide and mass atrocity prevention strategies in a case study of Kyrgyzstan.
- (2) Seminar Wrap-Up (Galis, Waller, and Zucker) – This 30 minute wrap-up session will bring an official close to the seminar with the distribution of certificates of completion. In addition, we will introduce participants to the Raphael Lemkin alumni network. As alumni of the Lemkin Seminar, you become members of 2PREVENT, a worldwide network of policymakers with a personal and professional commitment to preventing genocide. You will receive regular e-mails from the Auschwitz Institute, highlighting important news on policy for prevention. Most important, you will keep your fellow alumni informed of your country's policy initiatives in this area, so that global knowledge and experience can continue to develop long after your time with us in Auschwitz has ended.
- (3) Summative Evaluation Session (30 minutes)
- (4) Free Afternoon in Krakow
- (5) Closing Dinner