

AIPR Raphael Lemkin Seminar for Genocide Prevention
Global Government Edition, December 2013 (Final Draft)

Goals of the Seminar:

- (1) To familiarize participants with the concept of genocide, its relation to the broader category of mass atrocity, and the processes by which genocide occurs.
- (2) To empower participants with the practical competencies (knowledge and skills) necessary to develop the means to identify and deter the potential for genocide, limit genocide as it unfolds, and engage in the best practices of post-conflict peacebuilding that aim to prevent the future occurrence of mass atrocity in a post-conflict society.
- (3) To reinforce the international security and human rights norm of the responsibility to protect, with clear recognition of the idea that sovereignty is not a privilege, but a responsibility.
- (4) To strengthen capacity-building by creating a worldwide communication network of genocide-sensitive policymakers, shapers of political will, who will become agents of genocide and mass atrocity prevention with a heightened degree of awareness and sensitivity to the role of non-governmental actors in supporting their work in zones of conflict.

Overview of the Seminar

This seminar is grounded in the belief that preventing genocide and mass atrocity is an achievable goal. That is, there are ways to recognize its signs and symptoms, and viable options to prevent it at every turn if we are committed and prepared. This seminar aims to provide a program for participants that, literally, could not be experienced anywhere else in the world. Buttressed by an international teaching team of scholars and activists, the seminar follows the “situated learning” model of education by being grounded in the “power of place” at Auschwitz, the most notorious of the Nazi extermination camps. Day 1 (Monday) of the seminar will set the **introductions and context** by utilizing the power of place to focus on the Holocaust and Auschwitz as an introduction to the concept of genocide prevention and the mechanics of mass atrocities. Day 2 (Tuesday) will continue on-site at Auschwitz and examine issues of **process and memory**. Day 3 (Wednesday) will focus on **bureaucratic resistance, perpetrators, and frameworks for genocide and mass atrocity prevention**. Day 4 (Thursday) will explore **preventing and responding** to risks of genocide, war crimes, ethnic cleansing, and crimes against humanity. Day 5 (Friday) will examine issues related to **transitional justice and dealing with the past**. Day 6 (Saturday) will conclude the seminar by putting **genocide and mass atrocity prevention in action** with a capstone simulation experience allowing participants to apply the practical competencies (knowledge and skills) acquired over the course of the seminar. Most teaching modules will include one central, essential article or book chapter (typically no longer than 30 pages) to be read by the participants prior to that particular module. Case studies and other active learning assignments will allow participants to engage firsthand with the learning resources and presentation material. The seminar will follow the Chatham House Rule in which participants are free to use the information received, but neither the identity nor the affiliation of the speaker(s), nor that of any other participant, may be revealed.

Sunday, December 1

All Day Krakow Airport Pick-Ups – Morning and Afternoon

15:00 Late Lunch at Dialogue Centre

20:00 Dinner at Dialogue Centre

Monday, December 2 – Introductions and Context

- 7:30 Breakfast
- 8:00 Bus Departs Dialogue Centre for Auschwitz-Birkenau State Museum Conference Room (Block 12)
- 8:30-9:00 Official Opening of Raphael Lemkin Seminar for Genocide Prevention
Tibi Galis, Executive Director of the Auschwitz Institute for Peace and Reconciliation (AIPR); Andrzej Kacorzuk, Deputy Director for Education, Auschwitz-Birkenau State Museum; Representative from UN Office of the Special Advisors for the Prevention of Genocide and the Responsibility to Protect (TBD); and Dr. James Waller, Cohen Professor of Holocaust and Genocide Studies, Keene State College and Academic Programs Director of AIPR
- 9:00-10:15 Introduction to the Concepts of Genocide and Mass Atrocities
Dr. James Waller, Keene State College/AIPR
Readings: (a) James Waller, “Transcending Fratricide: The Politics of Naming,” from Srda Pavlovic and Marko Zivkovic (eds.), *Transcending Fratricide: Political Mythologies, Reconciliations, and the Uncertain Future in the Former Yugoslavia* (Germany: Nomos, 2013), pp. 31-49.
(b) UN Genocide Convention (1951).
Handout: Definitions of Genocide and Mass Atrocity Crimes
- 10:15-10:30 Coffee Break
- 10:30-11:45 Introduction to Genocide and Mass Atrocity Prevention
Tibi Galis, Executive Director of AIPR
Reading: Gregory Stanton’s briefing paper, “The 8 Stages of Genocide” (1998).
- 12:00-13:00 Lunch Break – Olecki Hotel
- 13:15-14:15 The Holocaust: Failure of a Political System (1933-1939)
Dr. James Waller, Keene State College
Reading: “Historical Overview,” from Donald Niewyk and Francis Nicosia, *The Columbia Guide to the Holocaust* (New York: Columbia University Press, 2000), pp. 3-9.
Handout: Nazi Party Platform (1920)
- 14:15-14:30 Coffee Break and Study Visit Group Assignments
- 14:30-16:30 Guided Study Visit of Auschwitz I (smaller groups)
Guides from Auschwitz-Birkenau State Museum
Reading: “Auschwitz,” from Walter Laqueur’s (ed.) *The Holocaust Encyclopedia* (New Haven, CT: Yale University Press, 2001), pp. 32-44.

16:45 Bus Returns to Dialogue Centre

18:30 Meet in Lobby for Bus Transport to Opening Dinner

19:00 Official Opening Dinner at Stara Poczta Restaurant in Oswiecim

Tuesday, December 3 – Process and Memory

- 7:30 Breakfast
- 8:00 Bus Departs Dialogue Centre for Auschwitz-Birkenau State Museum Conference Room (Block 12)
- 8:30-10:00 The Holocaust: The Final Solution as Process (1939-1945)
Dr. James Waller, Keene State College
Reading: “Historical Overview,” from Donald Niewyk and Francis Nicosia, *The Columbia Guide to the Holocaust*, pp. 9-41.
- 10:00-10:15 Coffee Break
- 10:15-11:30 Between Memory, Commemoration and the Authentic Site: Auschwitz as a Reference Point for Contemporary Societies
Alicja Bialecka, Plenipotentiary for the New Main Exhibition, Auschwitz-Birkenau State Museum
Readings: Selections from *The Holocaust: Voices of Scholars*, edited by Jolanta Ambrosewic-Jacobs (Jagiellonian University and the Auschwitz-Birkenau State Museum, 2009).
- 11:30-11:45 Coffee Break
- 11:45-12:15 Background Briefing: Auschwitz II-Birkenau
Dr. Piotr Setkiewicz, Chief Historian, Auschwitz-Birkenau State Museum
Auschwitz-Birkenau State Museum Conference Room (Block 12)
- 12:30-13:15 Lunch Break – Olecki Hotel
- 13:15 Bus Departs from Olecki Hotel to Auschwitz II-Birkenau
- 13:30-16:00 Guided Study Visit of Auschwitz II-Birkenau (smaller groups)
Guides from Auschwitz-Birkenau State Museum
Reading: Selection from Primo Levi’s *If This Is a Man (Survival in Auschwitz)*, translated by Stuart Woolf (1959), pp. 19-27.
- 16:15 Bus Departs from Auschwitz II-Birkenau to Dialogue Centre
- 17:30 Dinner – Dialogue Centre
- 18:30 Informal Debriefing of Auschwitz Experience
Dialogue Centre 4th Floor
Facilitated by AIPR Staff

Wednesday, December 4 – Bureaucratic Resistance, Perpetrators, and Frameworks for Genocide and Mass Atrocity Prevention

- 7:30 Breakfast
- 8:30-10:00 Models of Bureaucratic Resistance in the Holocaust
Dr. Paul Levine, Associate Professor/Docent in Holocaust History
The Hugo Valentin Centre, Uppsala University (Sweden)
Reading: Paul A. Levine, “Swedish Neutrality during the Second World War: Tactical Success or Moral Compromise?” from Neville Wylie (ed.), *European Neutrals and Non-Belligerents during the Second World War* (US: Cambridge University Press, 2010), pp. 304-330.
- 10:00-10:15 Coffee Break
- 10:15-12:15 Becoming Evil: How Ordinary People Commit Genocide and Mass Atrocity
Dr. James Waller, Keene State College/AIPR
Readings: (a) James Waller, “The Ordinariness of Extraordinary Evil: The Making of Perpetrators of Genocide and Mass Killing,” from Olaf Jensen and Claus-Christian W. Szejnmann (eds.), *Ordinary People as Mass Murderers: Perpetrators in Comparative Perspective* (UK: Palgrave Macmillan, 2008), pp. 145-164 and (b) excerpt from Jean Hatzfeld’s *Machete Season: The Killers in Rwanda Speak* (2003), pp. 21-27, 36-40.
- 12:30-13:30 Lunch Break – Dialogue Centre
- 13:45-15:45 Legal Frameworks for Genocide and Mass Atrocity Prevention
Mariana Salazar, Director for International Humanitarian Law, Legal Advisory, Ministry of Foreign Affairs of Mexico
Reading: Rome Statute of the International Criminal Court (Articles 5-8).
- 15:45-16:00 Coffee Break
- 16:00-17:30 Regional Frameworks: ECOWARN (ECOWAS Warning and Response Network)
Ms. Onyinye Onwuka, Early Warning Directorate, ECOWAS Commission
- 17:45 Bus to Auschwitz Jewish Center
18:00 Tour of Auschwitz Jewish Centre (<http://ajcf.org>)
18:30 Formative Evaluation Session
- 19:30-23:00 Pub Dinner – High Way Pub

Thursday, December 5 – Preventing and Responding to Risks of Genocide, War Crimes, Ethnic Cleansing, and Crimes Against Humanity

- 7:30 Breakfast
- 8:30-10:00 Early-Warning and Prevention Opportunities for Genocide and Mass Atrocities
Claudia Diaz, UN Office on Genocide Prevention and the Responsibility to Protect
Reading: OSAPG Framework of Analysis
- 10:00-10:15 Coffee Break
- 10:15-11:00 Film: *A Class Divided* (1985, 46 minutes)
- 11:00-11:15 Coffee Break
- 11:15-12:30 Exercise: Policy Options for Genocide and Mass Atrocity Prevention
Claudia Diaz, UN
Reading: UN Secretary-General's annual report – Responsibility to Protect: State Responsibility and Prevention (2013).
- 12:45-13:45 Lunch Break – Dialogue Centre
- 14:00-15:30 Responsibility to Protect (RtoP)
Claudia Diaz, UN
Readings: UN Secretary-General's annual reports on the responsibility to protect; (a) Implementing the Responsibility to Protect (2009), (b) Early Warning, Assessment and the Responsibility to Protect (2010), (c) The Role of Regional and Sub-regional Arrangements in Implementing the Responsibility to Protect (2011), (d) The Third Pillar: Timely and Decisive Response (2012), and (e) Responsibility to Protect: State Responsibility and Prevention (2013).
- 15:30-15:45 Coffee Break
- 15:45-17:15 Case Study: Libya, Syria, and the Responsibility to Protect
Dr. Simon Adams – Executive Director, The Global Centre for the Responsibility to Protect
Readings: (a) Simon Adams, “Libya and the Responsibility to Protect,” Global Centre for the Responsibility to Protect, Occasional Paper Series (October 2012), (b) Simon Adams, “The World’s Next Genocide,” *The New York Times* (November 15, 2012).
- 17:30 Dinner – Dialogue Centre
- 19:00 Networking Mapping Discussions – Dialogue Centre
Facilitated by AIPR & UN Staff

Friday, December 6 – Transitional Justice and Dealing with the Past

- 7:30 Breakfast
- 8:30-10:00 Introduction to Transitional Justice
Dr. Clara Ramirez-Barat (Senior Research Associate), International Center for Transitional Justice
Reading: Pablo de Greiff, “Report of the Special Rapporteur on the Promotion of Truth, Justice, Reparation and Guarantees of Non-Recurrence,” UNDOC A/HRC/21/46, August 2012.
- 10:00-10:15 Coffee Break
- 10:15-11:45 Exercise: The Role of Identity in Transitional Justice
Vahidin Omanovic, Center for Peacebuilding (Sanski Most, BiH)
- 11:45-12:30 Lunch Break – Dialogue Centre
- 12:45-14:15 Dealing with the Past: Truth, Justice, Reparation and Memory in Argentina
Fabian Oddone, Deputy Chief of Mission at the Embassy of Argentina in Bogota, Colombia
Readings: (a) Daniel Feierstein’s “Political Violence in Argentina and its Genocide Characteristics,” *Journal of Genocide Research* (2006), pp. 149-168 and (b) Maria Soledad Catoggio’s “The Last Military Dictatorship in Argentina (1976-1983): The Mechanism of State Terrorism,” *Online Encyclopedia of Mass Violence* (2010).
- 14:15-14:45 Coffee Break and Check-Out of Dialogue Centre
- 14:45-16:15 Crisis Spot: Burma
Debbie Stothard, Alternative Asean Network on Burma
Readings: (a) ALTSEAN, Burma Update (September 28, 2013) and (b) ALTSEAN Burma Bulletin (October 2013).
- 16:15-17:30 Quiz: Response Tools for Genocide and Mass Atrocity Prevention
Preparation for Capstone Simulation
Tibi Galis, Executive Director of AIPR & Dr. James Waller, Keene State College/AIPR
- 18:00 Bus to Krakow
- 19:30 Check-In at Radisson Blu
- Evening Free Evening in Krakow

Saturday, December 7 – Genocide and Mass Atrocity Prevention in Action

- 7:30 Breakfast – Radisson Blu
- 8:30-10:30 Capstone Simulation: Early Warning Assessment of Current At-Risk Area
Facilitators: Tibi Galis, Executive Director of AIPR & Dr. James Waller, Keene State College/AIPR
Reading: Rwanda Background Briefing.
- 10:30-11:00 Call to Action: Crisis in South Sudan
Justice George Angier Ring (Chairperson of the South Sudan National Committee for prevention of Genocide, War Crimes, Crimes Against Humanity and all Forms of discrimination) and Dr. Jaafar K. Juma (Secretary General of the National Committee)
- 11:00-11:15 Coffee Break
- 11:15-11:45 Seminar Wrap-Up, Introduction to Alumni Network, Certificate Distribution, and Group Photo
Tibi Galis, Executive Director of AIPR; Dr. James Waller, Keene State College/AIPR; Samantha Horn, AIPR; Michael Otterman, AIPR; and Representative from the UN Office of the Special Advisors
- 11:45-12:15 Summative Evaluation Session
- 12:30-13:15 Lunch – Radisson Blu
- 13:30 Arranged Tours in Krakow:

Schindler Factory Museum (Podgorze District)
Krakow City Tour (including Kazimierz District)
- 18:45 Meet in Lobby of Radisson Blu
- 19:00 Closing Dinner – White Rose Restaurant, Krakow

Sunday, December 8

- AM Participants check out of hotel and leave for return.

“In order to defend ourselves in the future against other totalitarian regimes, we have to understand how they worked in the past, like a vaccine.”
Lukasz Kaminski, President of Poland’s Institute of National Remembrance

Detailed Seminar Outline

Day 1 – Introductions and Context

Day 1 (Monday) of the seminar will introduce the concepts of genocide and mass atrocities as well as genocide prevention. The day also will set the history and context by utilizing the “power of place” to focus on the Holocaust and Auschwitz as an introduction to the process of genocide and mass atrocity.

- (1) Official Opening of Raphael Lemkin Seminar for Genocide Prevention (Galis, Kacorzyk, UN Rep, and Waller) – This opening 30 minute session will include a review of goals of the seminar and expected outcomes (or practical core competencies). Also included will be a review of the logistics of the week, participant expectations, etc.
- (2) Introduction to the Concepts of Genocide and Mass Atrocities (Waller) – This 75 minute module will introduce the concepts of genocide and mass atrocities through the story of Raphael Lemkin, with a clear recognition that additional specifics related to legal definitions will be coming throughout the week.
- (3) Introduction to Genocide and Mass Atrocity Prevention (Galis) – Given that genocide and mass atrocity prevention is the heart of the week, this 75 minute module will engage participants in an information discussion about what prevention is and why it is important. Included in this module will be a review of Gregory Stanton’s and Barbara Harff’s models of risk assessment and early warning, as a framework for understanding the process of genocide and mass atrocity.
- (4) The Holocaust: Failure of a Political System (1933-1939) (Waller) – To prepare participants for the guided study visit of Auschwitz I, this 60 minute module will examine the years leading up to the Holocaust in which a democratic political system failed and was replaced by a totalitarian regime. Emphasis will be placed on the early stages of the process by which governmental transitions may leave societies at risk for mass atrocity.
- (5) Guided Study Visit of Auschwitz I (2 hours)
- (6) Evening – Official Opening Dinner at Stara Poczta Restaurant

Day 2 – Process and Memory

Day 2 (Tuesday) will continue on-site at Auschwitz and examine, through the case study of the Holocaust, how the process of destruction unfolded. The day will conclude with a discussion on the role that memory and commemoration plays in post-conflict reconstruction.

- (1) The Holocaust: The Final Solution as Process (1939-1945) (Waller) – This 90 minute module will unpack the Holocaust as a process, with particular reflection on the preventative steps that may be taken at points in the process of destruction.
- (2) Between Memory, Commemoration and the Authentic Site: Auschwitz as a Reference Point for Contemporary Societies (Alicja Bialecka) – This 75 minute module will address issues of memory and commemoration at the Auschwitz-Birkenau State Museum.

- (3) Background Briefing: Auschwitz II-Birkenau (Piotr Setkiewicz) – This 30 minute background briefing will prepare participants for the guided study visit of Auschwitz II-Birkenau.
- (4) Guided Study Visit of Auschwitz II-Birkenau (2 ½ hours)
- (5) After dinner, the day will conclude with an informal debriefing, facilitated by the AIPR staff, allowing participants to unpack some of their experiences from the guided study visits and the first two days of the seminar.

Day 3 – Bureaucratic Resistance, Perpetrators, and Frameworks for Genocide and Mass Atrocity Prevention

Day 3 (Wednesday) will focus on the role of government officials (both complicit and resistant) in the Holocaust, while then moving to a more general discussion of the process by which perpetrators are made in genocide and mass atrocity. The day will conclude with a review of two frameworks – a legal framework and the ECOWARN framework – before an evening tour of the Auschwitz Jewish Centre.

- (1) Models of Bureaucratic Resistance in the Holocaust (Paul Levine) – This 90 minute module will focus on the ways in which government officials employed bureaucratic resistance, through ordinary channels, in the face of the Holocaust.
- (2) Becoming Evil: How Ordinary People Commit Genocide and Mass Killing (Waller) – This two hour module will offer a micro-level focus on the process by which ordinary people become capable of committing extraordinary evil.
- (3) Legal Framework (Mariana Salazar) – This two hour module will review the legal framework necessary for understanding genocide and mass atrocity.
- (4) Regional Framework (Onyinye Onwuka) – This 90 minute module will introduce participants to ECOWARN, a project of the ECOWAS Warning and Response Network.
- (5) Evening – Tour of Auschwitz Jewish Centre, formative evaluation session, and dinner at the High Way Pub.

Day 4 – Preventing and Responding to Risks of Genocide, War Crimes, Ethnic Cleansing, and Crimes Against Humanity

Day 4 (Thursday) will focus on preventing and responding to risks of genocide, war crimes, ethnic cleansing, and crimes against humanity as well as the responsibility to protect.

- (1) Early-Warning and Prevention Opportunities for Genocide and Mass Atrocities – This 90 minute module will provide an overview of the functions of the UN’s Office on Genocide Prevention and the Responsibility to Protect and introduce the Office’s Framework of Analysis as a basis for discussion of policy options for genocide prevention.
- (2) *A Class Divided* (1985): One day in 1968, Jane Elliott, a teacher in a small, all-white Iowa town, divided her third-grade class into blue-eyed and brown-eyed groups and gave them a daring lesson in discrimination. This film is the story of that lesson, its lasting impact on the children, and its enduring power thirty years later.

- (3) Exercise: Policy Options for Genocide and Mass Atrocity Prevention – This 75 minute exercise, building on the UN Framework of Analysis, will review policy options for genocide prevention and allow participants to apply some practical competencies (knowledge and skills) regarding policy options for genocide and mass atrocity prevention.
- (4) Responsibility to Protect – This 90 minute module will review the three-pillar strategy for implementing the responsibility to protect (January 12, 2009) and subsequent related UN reports.
- (5) Case Study: Libya, Syria, and the Responsibility to Protect (Simon Adams) – The day will conclude with a 90 minute module analysing the application of RtoP to the crisis in Libya in 2011 and the ongoing war in Syria.
- (6) After dinner, the day will conclude with informal networking discussions, facilitated by AIPR and UN staff, allowing participants to share some of their challenges and successes in dealing with this material in their home offices.

Day 5 – Transitional Justice and Dealing with the Past

Day 5 (Friday) will examine issues related to transitional justice and dealing with the past in genocide and mass atrocity prevention.

- (1) Introduction to Transitional Justice (Clara Ramirez-Barat) – This opening 90 minute module will address issues of how a society moves from war to peace, or from a repressive or authoritarian regime to democracy, or from mass atrocity to post-conflict stability.
- (2) Exercise: The Role of Identity in Transitional Justice (Vahidin Omanovic) – This 90 minute interactive exercise will explore the role of identity, both as a divisive force in conflict as well as a healing mechanism in transitional justice.
- (3) Dealing with the Past: Truth, Justice, Reparation and Memory in Argentina (Fabian Oddone) – This 90 minute module will expand our look at issues of memory and responsibility by focusing our attention on the military violence in Argentina (1976-1983) and the ways in which truth, justice, reparation and memory have restored societal stability.
- (4) Briefing on Current Crisis Spot: Burma (Debbie Stothard) – This 90 minute module will provide an update, informed by on-ground research and activism, of current crises in Burma.
- (5) Response Tools for Genocide Prevention (Galis and Waller): This 75 minute module will briefly review the tools available to help halt and reverse escalating threats of genocide and mass atrocities (diplomatic, economic, legal, and military) as a means of preparation for the capstone simulation. Also covered will be a brief analysis of how genocides end.

Day 6 – Genocide and Mass Atrocity Prevention in Action

Day 6 (Saturday) will conclude the seminar by having participants use the practical core competencies gained through the week in a capstone simulation. We'll conclude the morning with a summative evaluation session before giving participants a free afternoon in Krakow prior to our closing dinner.

- (1) Capstone Simulation (Galis and Waller) – Using the practical core competencies gained through the week, this two hour capstone simulation will engage participants with genocide and mass atrocity prevention strategies in a case study of Rwanda. The simulation will be followed by a call to action session led by our two South Sudanese participants.
- (2) Seminar Wrap-Up (Galis, Waller, Horn, Otterman, and UN Representative) – This 30 minute wrap-up session will bring an official close to the seminar with the distribution of certificates of completion. In addition, we will introduce participants to the Raphael Lemkin alumni network. As alumni of the Lemkin Seminar, you become members of 2PREVENT, a worldwide network of policymakers with a personal and professional commitment to preventing genocide. You will receive regular e-mails from the Auschwitz Institute, highlighting important news on policy for prevention. Most important, you will keep your fellow alumni informed of your country's policy initiatives in this area, so that global knowledge and experience can continue to develop long after your time with us in Auschwitz has ended.
- (3) Summative Evaluation Session (30 minutes)
- (4) Free Afternoon in Krakow
- (5) Closing Dinner